



2-11-04

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00093

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 1713 :  
Examiner: :  
  
In re application of : CATALYTIC PROCESSES FOR THE  
Matyjaszewski et al. : CONTROLLED POLYMERIZATION OF FREE  
: RADICALLY (Co)POLYMERIZABLE  
: MONOMERS AND FUNCTIONAL POLYMERIC  
: SYSTEMS PREPARED THEREBY  
  
Serial No.: 09/534,827 :  
  
Filed: March 23, 2000 :

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

February 10, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

Applicants, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying Form PTO/SB/08 *Information Disclosure Statement by Applicant* (substitute for Form 1449A/PTO). A copy of each of the cited references is herewith enclosed.

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

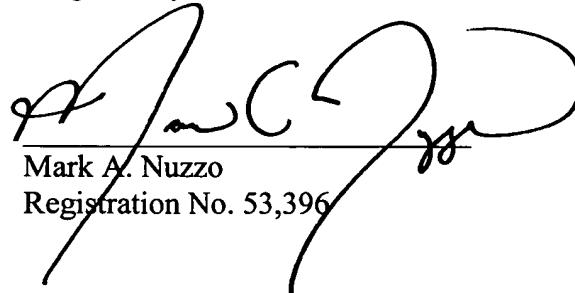


Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement by Applicant* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Applicants further note that the filing of this *Information Disclosure Statement by Applicant* is not an admission that the references cited herein constitute prior art under 35 U.S.C. §§ 102-103 with respect to the captioned application.

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants submit that no fee is necessary for consideration of this *Information Disclosure Statement by Applicant*. Nevertheless, the Commissioner is hereby authorized to charge any additionally required fees deemed necessary for consideration of this *Information Disclosure Statement by Applicant* to Account No. 11-1110.

Respectfully submitted,



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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	09/534,827
				Filing Date	March 23, 2000
				First Named Inventor	Matyjaszewski
				Art Unit	1713
				Examiner Name	
(use as many sheets as necessary)					
Sheet	1	of	4	Attorney Docket Number	00093

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		3,183,217	05/11/1965	Serniuk, et al.	
		3,959,225	05/25/1976	Kuntz	
		4,007,165	02/08/1977	MacLeay, et al.	
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		4,728,706	03/01/1988	Farnham, et al.	
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		6,121,371	09/19/2000	Matyjaszewski, et al.	

## **FOREIGN PATENT DOCUMENTS**

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NON PATENT LITERATURE DOCUMENTS			
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		United States Patent application No 10/269,556 filed October 11, 2002. Attorney Docket No. 010686	
		United States Patent application No. 09/359,359 filed July 23, 1999. Attorney Docket No. 00169DIV3	
		United States Patent application No. 09/972,056 filed October 5, 2001. Attorney Docket No. 00819	
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		United States Patent application No. 10/118,519 filed April 6, 2002. Attorney Docket No. 010314	
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		DOROTA GRESZTA ET AL., "Gradient Copolymers of Styrene and Acrylonitrile Via Atom Transfer Radical Polymerization", Polymer Preprints, April 1997, pages 709-710, Vol 38(1).	

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		GROMADA, J.; MATYJASZEWSKI, K. Macromolecules 2001, 34, 7664-7671.		
		MATYJASZEWSKI, K.; Editor Controlled/Living Radical Polymerization. Progress in ATRP, NMP, and RAFT. In: ACS Symp. Ser., 2000; 768, 2000., Chapter 19 "Reverse Atom Transfer Radical Polymerization Using AIBN or BPO as Initiator" pp. 263-275		
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		TAKEICHI ET AL., "Preparation of Porous Carbon Films by the Pyrolysis of Poly(Urethane-imide) Films and Their Pore Characteristics", Carbon, February 2001, pp. 257-265, Vol. 39, No. 2.		
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		WANG ET AL., "Living"/Controlled Radical Polymerization, Transition-Metal-Catalyzed Atom Transfer Radical Polymerization in the Presence of a Conventional Radical Initiator", Macromolecules, 1995, Vol. 28, pp. 7572-7573.		
		WANG, J.-S.; MATYJASZEWSKI, K., J. Am. Chem. Soc. 1995, 117, 5614-5615.		

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